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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christian Georghe, et al. Examiner: Not Yet Assigned  
Serial No.: Not Yet Assigned Group Art Unit: Not Yet Assigned  
Filed: October 19, 2001 Docket: 40205.2US01  
Title: System and Method for Adaptively Selecting and Delivering Recommendations to a Requester

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, Washington, D.C. 20231, on January 22, 2002.

By: Karen S. Wilwerding  
Karen S. Wilwerding

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Small entity status has been previously established
- ☒ Information Disclosure Statement, Form 1449, 9 Reference(s)
- ☒ Return postcard

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
303-357-1667



By: Thomas H. Young  
Name: Thomas H. Young  
Reg. No.: 25,796  
THYoung



PATENT S/N Not Yet Assigned

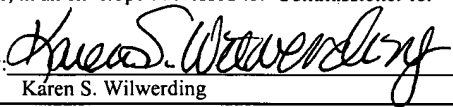
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By:

  
Karen S. Wilwerding

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

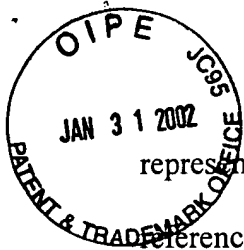
The items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing of this application -- which is not an application under 37 C.F.R. § 1.53(d).

Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103, and applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, applicants do not



represent that a reference has been thoroughly reviewed or that the relevance of any portion of a reference is intended.

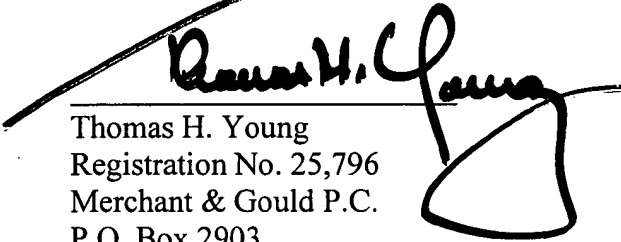
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725 with reference to Attorney Docket No. 40205.2US01.

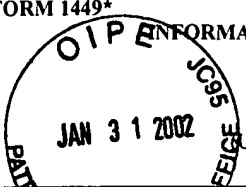
Respectfully submitted this 22<sup>nd</sup> day of January, 2002.



Merchant & Gould, PC

  
Thomas H. Young  
Registration No. 25,796  
Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
Phone: 303-357-1667

Attorneys for Applicants

<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 40205.2US01	Application Number:
	Applicant: Christian Georghe et al.	
	Filing Date: October 19, 2001	Group Art Unit: Not Yet Assigned

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,704,017	12/30/97	Heckerman et al.	395	61	
	6,064,980	05/16/00	Jacobi et al.	705	26	
	6,088,718	07/11/00	Altschuler et al.	709	203	
	6,092,049	07/18/00	Chislenko et al.	705	10	
	6,108,493	08/22/00	Miller et al.	395	200.49	
	6,144,944	11/07/00	Kurtzman, II et al.	705	14	
	6,202,058	03/13/01	Rose et al.	706	45	
	6,256,163	07/03/01	Schmidt et al.	360	78.09	

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		"Analysis of Recommendation Algorithms for E-Commerce," by Badrul Sarwar, George Karypis, Joseph Konstan, and John Riedl; GroupLens Research Group, Army HPC Research Center, Department of Computer Science and Engineering, University of Minnesota, Minneapolis, MN 55455

**23552**

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	



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## DOCKET NOTIFICATION SLIP

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### TO: PATENT DOCKETING

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**Date Mailed: January 22, 2002**

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